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**Pan-Mediterranean Holocene vegetation
and land-cover dynamics from synthesised pollen data**

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ABSTRACT

Aim

The Mediterranean is characterised by diverse and spatially-heterogeneous mosaic landscapes. Within this study a cluster analysis-based method is developed for the classification of Mediterranean vegetation types based on modern and fossil pollen datasets. The application of this approach to multiple pollen records spanning the Mediterranean region has allowed temporal variations in vegetation dynamics to be explored throughout the Holocene. We ask how far back stable baseline vegetation communities can be identified in the pollen record, and whether those types considered to be characteristic of the Mediterranean landscapes have been present in the past as well as at the present.

Location

The research location includes the land areas surrounding the Mediterranean Sea. The pollen sites are principally located in mainland Spain, southern France, Greece and Turkey, Italy, North Africa, the Levant, and some Mediterranean islands.

Methods

5641 samples from 158 fossil pollen records (cores) and 1799 modern pollen surface samples were harmonised taxonomically and pollen count data summed into 200-year time windows on a common timescale from 11,000 BP to the present-day. Cluster analysis and community classification was used to identify major vegetation types along with other approaches to explore patterns in ecological datasets, such as Simpson's diversity index and non-metric multidimensional scaling.

Results

The pollen datasets were classified into eleven closed forest/woodland and five open or scrubland vegetation types. Closed vegetation clusters declined from the mid-Holocene with

a marked increase in open or human-modified vegetation types since 3500 BP and with an increasing rate of vegetation change and habitat diversity through time.

Main conclusions

The Mediterranean has been a dynamic landscape throughout the Holocene with frequent changes in land cover identified in the pollen datasets. The pollen-inferred clusters reveal a wider range of Mediterranean vegetation types than identified in previous studies; for example, including both beech and alder woods. Evergreen Oleaceae-dominated shrubland is much better represented in modern than in fossil samples while mesic forest was abundant in the past but is uncommon today.

Keywords: Disturbance; Holocene; Human impacts; Land-cover; Landscape structure; Mediterranean; Pollen; Vegetation

INTRODUCTION

Pollen analysis offers a valuable tool for exploring long-term changes in vegetation and land cover (Jackson & Blois 2014; Edwards et al., 2017). Numerous studies have mapped and classified European vegetation using fossil pollen for discrete time intervals through the Holocene in relation to climate and major environmental gradients (e.g. Huntley, 1990; Prentice et al., 1996; Peyron et al., 1998). This has led to the description of plant functional types, the identification of ‘biomes’ and their spatial and temporal distribution (e.g. Marinova et al., 2017), climate reconstruction based on pollen and vegetation modelling (e.g. Guiot & Kaniewski, 2015) and geographical mapping of individual taxa (Brewer et al., 2017). Understanding patterns of vegetation dynamics and biogeographic change allows improved knowledge of the habitats within ecoregions and their responses to disturbance and environmental change, which can provide frameworks for biodiversity conservation policies and ecological modelling (Blasi et al., 2014). Previous studies have often focused on continental scales. For example the ‘BIOME 6000’ mapping project (Prentice et al., 1996; Prentice & Webb, 1998) provided a pollen-based landscape reconstruction for Europe for two time periods (6000 and 0 BP) based on modern and fossil data, notably for climate-biosphere modelling. However, continental-scale descriptions of vegetation types can be less informative about ecologically diverse sub-regions, such as the Mediterranean. There is potential for more detailed classification and reconstruction of Mediterranean ecoregions (e.g. Collins et al., 2012), which can allow exploration of how vegetation types developed in relation to human land modification.

The present vegetation of the Mediterranean region is the product of a distinctive climate and natural environment transformed by human activities over many millennia (Jalut et al., 2009).

Improved understanding of the unique vegetation assemblages that characterise the Mediterranean landscape and how these have changed over millennia has potential value in identifying vegetation types at risk from future land use change. Much of the modern Mediterranean vegetation has been altered by deforestation, over-grazing, erosion and burning (Vogiatzakis et al., 2006). In recent decades these factors have led to a shift from highly heterogeneous landscapes maintained by multiple different land uses, to more homogeneous landscapes, for example, following land abandonment on some European shores of the Mediterranean (Pausas, 1999). Wildfires are also an important feature of Mediterranean ecosystems and their associated disturbance regimes (Rundel et al., 1998). Animal grazing has been a constant disturbance factor throughout the Holocene, and has been important in maintaining open and mosaic landscapes (Blondel, 2006). Plant distribution is also restricted by environmental factors such as species' climatic tolerances and interspecific interactions (e.g. García-Valdés et al., 2015). Climate is an important driver of vegetation turnover through previous interglacial periods (e.g. Langgut et al., 2011) and some studies employ pollen analysis as a tool to reconstruct past climate (e.g. Mauri et al., 2015). Since the mid-Holocene, the increasing impact of human land use has been demonstrated in numerous studies (e.g. Colombaroli & Tinner, 2013). Consequently, disentangling the impacts of climate and human land use on vegetation shifts can be complex, notably for recent millennia.

The aims of this study are to: 1) develop a data-led method for the classification of vegetation types based on modern and fossil pollen datasets across the Mediterranean region; 2) identify temporal and spatial variations in the abundance of different vegetation types and explore whether stable baseline vegetation communities can be identified in the pollen record; and 3) compare these results with previous studies that have classified and mapped vegetation from

pollen data and modern vegetation associations. These aims will be achieved via use of cluster analysis to derive a numerical classification of vegetation types from pollen taxa associations. This approach has the potential to be applied to multiple sequences covering broad geographic regions and is flexible enough to accommodate additional datasets in the future. Databases of modern pollen samples (Davis et al., 2013) and fossil pollen (e.g. Fyfe et al., 2009) have been used for this macro-ecological study.

METHODS

Modern and fossil pollen datasets

Pollen count data have been obtained from the European Modern Pollen Database (Davis et al., 2013) and the European Pollen Database for fossil records (version: May 2016) (Leydet, 2007-2017). The analyses are based on 1799 modern surface samples and 158 fossil pollen records (cores) from 143 sites (Supplementary Information 1). Only pollen sequences with reliable chronologies (*sensu* Giesecke et al. 2013) were used. These provide more independent age control for the mapping of vegetation change than has been possible in previous studies. The pollen count data from each site have been summed into 200-year time windows from 11000 BP to present. These allow vegetation changes of sub-millennial duration to be identified, avoid ‘smoothing’ that might result from using longer time windows (Fyfe et al., 2015) and enable direct temporal comparison among sites. The modern (*i.e.* surface) pollen and most recent (200 BP - present) fossil samples are separated in the analyses. The majority of the modern pollen samples derive from moss polsters or soils and there is no duplication of core top samples between the modern and fossil datasets. This has resulted in 3852 fossil samples distributed across 55 time windows, and a total of 5641

modern/fossil pollen samples. Pollen sites located in areas with characteristic Mediterranean climate and vegetation have been selected for analysis (Fig. 1), as defined using the vegetation zones illustrated by Izdebski et al. (2015) and Ozenda & Borela (2000). Pollen sites from the Rhone Valley in southern France were also included in the initial cluster analysis to identify land-cover types at higher elevation, but these sites were subsequently removed for analysis of patterns in the Mediterranean, leading to 105 fossil records and 1610 modern samples.

The taxonomy of the modern and fossil datasets has been harmonised and simplified using the EPD nomenclature (Leydet, 2007-2017). Different levels of taxonomic resolution were chosen for specific purposes. For example, key indicator species; i.e. taxa that are associated with certain land use types, such as arable weeds, were grouped at the species level (e.g. ribwort plantain (*Plantago lanceolata*)), whereas other taxa were combined at a higher taxonomic level, such as all evergreen oak species and varieties (e.g. *Quercus ilex* and *Quercus suber*). There are challenges for pollen analysts in subdividing the *Quercus* group based on the morphology of the pollen grains. For example, *Quercus cerris/suber* types, which represent both evergreen and deciduous types, are often combined by analysts due to difficulties in distinguishing them using a light microscope. Certain assumptions are required when utilising large databases that have been compiled from the work of numerous different analysts, for example, ‘*Quercus* undifferentiated’ could represent evergreen or deciduous *Quercus* when not identified to species level. Within the dataset presented here, deciduous *Quercus* appears in 150 sequences and evergreen *Quercus* has been identified in 125 sequences, therefore in the majority of cases analysts have separated different oak types. Only taxa with >1% occurrence that occur in >50 samples were included, resulting in 260 pollen taxa. The geographical coverage of this dataset is good for most of the European

Mediterranean but poorer for the African side. This needs to be taken into account when interpreting results, as all phytogeographical conditions may not be represented. The terms ‘vegetation clusters’ and ‘vegetation/pollen assemblages’ are used interchangeably and ‘cluster group’ is used to describe the resulting collection of pollen samples that fall into a particular ‘cluster’ number.

Data analysis

Ward’s hierarchical agglomerative clustering method (Ward, 1963; Murtagh & Legendre, 2014) has been applied to the amalgamated modern and fossil pollen dataset using the ‘Rioja’ R package (Juggins, 2015). This unsupervised data-driven approach was used to assign pollen samples to cluster groups based on the similarity of their taxa assemblages without excluding any land pollen taxa from the dataset (other than those <1% with fewer than 50 occurrences). Whilst many approaches exist for classification of multivariate data, these generally produce similar results in pollen datasets (Felde et al., 2014; Felde et al., 2016). Cluster analysis allows hierarchical aggregation at different classification levels (García-Madrid et al., 2014). Detailed comparison of the pollen samples and assemblages at different clustering levels has allowed exploration of the cluster groups that separate at a lower clustering level (e.g. six clusters) and are represented by more distinct vegetation groups (Table 1). Unsupervised cluster analysis assigns pollen samples into a predetermined number of groups. The ratio of change between cluster groups through time, which may represent a measure of community turnover, was calculated by identifying the number of pollen samples that switch to a new cluster or remain within the same cluster between successive time windows and transforming these values into a ratio of change to non-change.

After assigning pollen samples to clusters statistically, a phytosociological classification approach was used to identify the frequent and abundant taxa within each group based on the number of occurrences of the taxon, the average percentage, median and interquartile range (IQR). The resulting community tables were used to facilitate the selection of interpretive name descriptors for each cluster based on the most frequent/abundant taxa along with comparisons with other classification systems (Küchler & Zonneveld, 1998; Bossard et al., 2000; Allen, 2009). The pollen cluster groups have also been compared against land cover and land use types defined by the Corine (COoRdination of INformation on the Environment) remotely-sensed land cover maps (European Environment Agency (EEA), 2016) and the results of previous studies (Huntley, 1990; Prentice et al., 1996; Guliot et al., 1996; Peyron et al., 1998). An individual taxon's frequency is determined by calculating its number of occurrences divided by the number of samples in the cluster and assigning one of five frequency classes based on cut-off values between each group, which follows the method used by Perez et al. (2015). If a taxon appears in 81-100% of all samples in the cluster group it is assigned the highest frequency class. The relative proportions of samples in each cluster group have been calculated for each fossil pollen 200-year time window and the modern pollen surface samples.

Non-metric multidimensional scaling (nMDS) was applied to the data using the R 'vegan' package (Oksanen et al., 2016) as a complementary method to summarise major variation in the dataset. Kernel density plots of nMDS scores have been produced to show the overlap or distinctiveness of cluster groups in ordination space with contours to highlight greater density of samples. Simpson's diversity index has been calculated for each pollen sample using raw pollen percentage data. This diversity index was selected as it takes both species richness and evenness into account (Simpson, 1949) and is frequently used to explore diversity change in

pollen datasets (e.g. Morris et al., 2014). Although species diversity indices provide only limited information about community ecology and species abundance (Chiarucci et al., 2011) this index provides a complementary method and has allowed diversity change in the pollen datasets to be explored independently of the cluster analysis approach. The differential pollen productivity of different plant types is not accounted for in the methods presented here; therefore, some high pollen producers, such as *Pinus*, may be over-represented in some clusters. Lower pollen producers are recognised within the method, as they may appear consistently represented in certain clusters as frequent taxa in spite of their lower abundance values.

RESULTS

Defining pollen cluster groups

After analysing the community classification of various groups of sites at different hierarchical levels (Table 1) within the dendrogram, 16 clusters have been chosen as a suitable number to reflect the range of land cover types represented in the pollen dataset (Table 2). These are based on a hierarchical classification that aggregates the 16 clusters into eight major groups. Preston et al. (2013) suggested that decisions about the number of clusters should be “based on the purpose of the study because there is no single ‘correct’ classification”. Choosing 16 clusters allows us to address the aims of this study and identify changes in key vegetation assemblages through time. Some of the open land cover clusters are composed of complex mixtures of relatively low abundance, but frequently occurring taxa, whereas forest (closed vegetation) clusters are usually distinguished by one or two dominant arboreal taxa (Fig. 2). The open vegetation clusters include both ‘anthromes’

(anthropogenic biomes; Ellis, 2015), such as grassland, with indicators of arable and disturbed land, and naturally occurring open land cover types, such as steppe. Some clusters were composed entirely of either fossil or modern samples, i.e. indicating the possible existence of non-analogue vegetation assemblages. Clusters are presented according to their corresponding ‘super group’, i.e. a broader group that they are statistically assigned to at a lower clustering level (Table 1). Many of the pollen records do not cover the entire Holocene; therefore some periods of time are represented by fewer sites than others. The number of sites does not drop below 30 for any time interval, which is a suitable number for calculating averages. However, site numbers do drop below 30 when split by elevation group, and interpretations need to be made with caution when site numbers are very low for a particular time window.

Some cluster groups comprise pollen samples that are similar to one another while other cluster groups are more diverse when mapped by nMDS scores (Fig. 3). For example, all of the open vegetation clusters occupy a larger area in nMDS space while the forested clusters occupy smaller areas on the plots. The nMDS stress value was 0.224, which indicates that the analysis cannot adequately collapse the variability within the 260 taxa across the samples into two dimensions. This explains the overlap of clusters within the nMDS ordination space and highlights the value of the cluster analysis approach in providing a more detailed and useful way to identify major vegetation types.

Defining Mediterranean vegetation types

Open vegetation assemblages

The pollen taxa assemblages derived for clusters 1.1 (sclerophyllous parkland), 1.2 (evergreen oak shrubland: Oleaceae), 1.3 (sclerophyllous steppe/parkland) and 1.4 (parkland/grassland) are typical of open landscapes, which are often recognised as being human modified (Table 1; see Fyfe et al., 2018). Cluster 1.1 is a very mixed group with median values below 8% for all frequently-occurring taxa, while cluster 1.2 has high values for Oleaceae, which includes both cultivated olive groves and wild trees. The most frequent and abundant taxon in cluster 1.3 is goosefoot family (Chenopodiaceae), a typical steppe-land type, but this cluster also includes frequent occurrence and relatively high abundance of pine (Pinaceae), grass (Poaceae) and mugwort/wormwood (*Artemisia*). Cluster 1.4 (parkland/grassland) is a very large group that includes 583 pollen samples. The cluster includes high values for grass, with frequent occurrence of pine, deciduous/evergreen oak (*Quercus*), arable and grassland indicators. Many of these taxa have broad IQR values indicating a mixed and varied group. Within cluster 2.0 (evergreen shrubland/woodland: *Quercus*), certain taxa have higher IQR than others, for example, evergreen oak has a fairly narrow IQR, while grass in contrast has a large IQR, indicating that evergreen oak is the distinctive taxon for this cluster.

The removal of aquatic pollen taxa and spores (such as *Myriophyllum*, *Menyanthes*, *Sphagnum*, *Potamogeton*) from the dataset means that identifying wetlands is restricted. However, sedges (Cyperaceae) include both aquatic and dryland plants, so this taxon has been included in the dataset. Cluster 3.0 (pasture/wetland) is distinguished by high values of sedge and also includes frequent occurrence of pine and grass. It is common at sites above 500 masl, where it appears to represent pastureland, as well as some lowland sites where it is more likely to be indicative of wetlands.

289 *Pine forests/woodlands*

290 Clusters 4.0 (pine forest), 5.1 (pine woods), 5.2 (pine steppe) and 7.0 (fir forest) are
 291 dominated by pine (Table 1), which produces high amounts of pollen that can travel great
 292 distances (Broström et al., 2008) and can be over-represented in pollen records. There are
 293 many Mediterranean pine species with contrasting ecologies, ranging from *Pinus sylvestris*,
 294 which is typical of cooler montane environments, to *Pinus halepensis* that grows in coastal
 295 regions. These different species of pine may not always be consistently or easily
 296 distinguished palynologically, and this limits the environmental significance of the combined
 297 *Pinus* clusters; however, the associated taxa (the constant, frequent and common types) can
 298 assist in understanding the nature of the vegetation communities represented in these
 299 samples. Cluster 5.2 (pine steppe) is dominated by a combination of pine, grass and sedge
 300 with similar values for each, whereas cluster 7.0 is a distinctive fir cluster group, which also
 301 includes a mixture of other deciduous and coniferous woodland types. *Abies* and *Picea* have
 302 been separated from *Pinus* in the dataset and Pinaceae is mainly composed of *Pinus*, but as
 303 other genera such as *Cedrus* are in the same family, the name used here to describe this group
 304 is Pinaceae.

305

306 *Deciduous and mixed forests/woodlands*

307 This "super group" includes clusters 6.1 (deciduous oak woods), 6.2 (deciduous oak
 308 parkland), 8.1 (alder woods), 8.2 (coniferous forest), 8.3 (beech woods) and 8.4 (mesic forest)
 309 (see Table 1 for a list of the dominant taxa in these groups). Cluster 6.1 is a distinct deciduous
 310 oak class whereas grass and pine are also key taxa in cluster 6.2. Cluster 8.1 includes samples
 311 with a high, but wide, IQR for alder, with frequent but lower values of a selection of other
 312 deciduous woodland types, pine, sedge and grass. Cluster 8.3 has high average values for
 313 beech (*Fagus*) and other taxa that occur frequently in the dataset, and cluster 8.2 represents a

combination of spruce, pine and fir. Cluster 8.4 is distinct from the other clusters in this broad group and described as ‘mesic forest’ with high average values for elm, hazel and oak, although, pine, grass, birch, alder and sedge also frequently occur in samples in this group and all taxa have fairly wide IQR, indicating that this is a varied woodland group.

Deciphering patterns of vegetation change

The overall patterns of Holocene vegetation change (Fig. 4) reveal that pine forest (4.0), pine woods (5.1) and deciduous oak woods (6.1) dominated the early Holocene period prior to 8000 BP. These were then partly replaced by other cluster groups, especially wooded parkland (e.g. cluster 6.2: deciduous oak parkland and 1.1: sclerophyllous parkland) into the mid-Holocene (i.e. 8000-4500 BP). Some vegetation clusters increased in abundance during the latter part of the record after ~4500 BP (e.g. 3.0 (pasture/wetland) and 1.4 (parkland/grassland)). A number of clusters also only appear in the second half of the Holocene, such as 8.3 (beech woods), 8.1 (alder woods) and 1.2 (evergreen shrubland: Oleaceae). The declining nMDS scores and varied ratio of change in the early Holocene appears to reflect shifts in vegetation patterns as a result of natural processes, notably adaptation to the onset of interglacial climatic conditions. This was followed a period of greater vegetation stability between ~9000 and 6000 BP with more consistent nMDS scores and steadily increasing diversity. Since the mid to late Holocene there has been an increasing ratio of change in the pollen-inferred vegetation clusters (since ~5000 BP) and nMDS scores (since ~2000 BP), while the Simpson’s diversity index steadily increased throughout the entire Holocene. The nMDS patterns (Fig. 3) indicate that the open vegetation types that have prevailed during the later Holocene are more diverse taxonomically. Overall, the ratio of change and analysis of ecosystem trajectories (Fyfe et al., 2018) indicate continual turnover

during the Holocene, rather than any clearly identifiable stable baseline state for Mediterranean vegetation.

Grouping sites according to elevation has revealed that needle-leaf forests (4.0, 5.1 and 5.2) and deciduous oak woods/parkland (6.1 and 6.2) were abundant in the early Holocene at the lowest elevation (below 500 m) (Fig. 5). Parkland/grassland (1.4), sclerophyllous steppe/parkland (1.3) and pasture/wetland (3.0) then become more abundant from the mid-Holocene, and in the most recent 5000 years evergreen shrubland (Oleaceae) (1.2) and alder woods (8.1) increase. Evergreen shrubland (1.2) is most abundant in this lowest elevation group. At mid-elevation levels (between 500-1200 m) in addition to pine forest types, mesic forest (8.4) has high values in the early Holocene, while beech woods (8.3) become significant after ~3500 BP. Conifer trees are most common at the highest elevation level (above 1200 m), with pine forest/woods types (4, 5.1 and 5.2) having very high values in the early Holocene (greater than 80% when pine clusters are combined), which are then partly replaced by fir, conifer and pine steppe (7.0, 8.2 and 5.2).

There are cluster groups in the fossil record that are not represented in the modern dataset; for example, mesic forest (8.4), which may represent a non-analogue community, as it is also absent in the most recent fossil pollen time window (200 BP - present). However, there are no groups that are present in the modern dataset that are not also present in the fossil dataset, although some clusters appear rarely, for example, 2.0 (evergreen shrubland: *Quercus*) and 1.2 (evergreen shrubland: Oleaceae). The modern surface samples cover a much larger geographical area, as there are around ten times more site locations than in the fossil dataset, and greater diversity of landscape types may be therefore expected in the modern dataset. On

the other hand, the fossil dataset is much larger than the surface pollen dataset in terms of the number of samples included and encompasses landscape types that have changed over time.

DISCUSSION

The pollen-based results indicate that modern/recent vegetation in the Mediterranean basin is spatially diverse, in accord with vegetation mapping using remote sensing and ground-level field survey, as reflected by the Corine remote sensed land cover map (Fig. 6). The similarity between pollen clusters and the Corine maps is best in areas with homogeneous land cover, whereas more heterogeneous landscapes lead to poorer matches (Woodbridge et al., 2014). The Corine map and pollen-inferred vegetation clusters show good overall similarities, for example, parkland/grassland (1.4) and sclerophyllous parkland (1.1) are similarly reflected by both datasets in north central Spain while the evergreen shrubland (Oleaceae) (1.2) cluster is located in areas of olive groves in south central Spain shown on Corine maps. However, there are also dissimilarities, which relate to the difference in these two data types in sensing vegetation patterns (Woodbridge et al., 2014). This partly results from the amalgamation of pollen types reaching a site in a mosaic landscape and the difficulty in identifying some landscape types palynologically. Differential pollen productivity and dispersal may lead to over-representation of pine forests and under-representation of some herbaceous taxa and thus open vegetation types.

Plotting pollen samples in nMDS space according to cluster group (Fig. 3) provides an independent assessment of the major patterns within the dataset, although the full range of variability is not captured by two dimensions. Similar vegetation types identified according to the cluster analysis approach occupy similar areas of nMDS ordination space, such as all pine

dominated forest types (4.0, 5.1 and 5.2) while the two evergreen shrubland types (1.2 and 2.0) also occupy similar nMDS space.

Temporal dynamics in vegetation patterns

The cluster analysis-based approach and datasets presented here and in a companion paper (Fyfe et al., 2018) focussing on exploring trajectories of change, permit a more detailed description of Mediterranean vegetation change through the Holocene than some previous studies. Prentice et al. (1996), for example, identified only four key vegetation types in the Mediterranean: xerophytic woods/scrub, temperate deciduous forest, broad-leaved evergreen/warm mixed forest, and steppe. These vegetation types are reflected by the limited array of pollen clusters shown in Fig. 6. Within the ‘BIOME 6000’ mapping project (Prentice et al., 1996), the Mediterranean was dominated by “temperate deciduous broad-leaf forest” at 6000 BP and by “xerophytic woods/scrub” and “warm-temperate evergreen broad-leaf and mixed forest” at 0 BP. The vegetation clusters presented in our new analysis reveal a much wider range of forest types, which appear to shift northwards between 6000 BP and the present day. For example, they include 6.2 (deciduous oak parkland), 4.0 (pine forest) and 5.1 (pine woods) in northern Spain at 6000 BP, while 1.1 and 1.3 (sclerophyllous clusters) were restricted to southern Spain. The forest types in northern Spain were then replaced by clusters 1.1 and 1.3 and other open vegetation types (e.g. 1.4 (parkland/grassland) and 3.0 (pasture/wetland) while some forests persisted, primarily in upland regions, with the appearance of others such as 8.3 (beech woods). This matches the BIOME 6000 analysis of vegetation change in Iberia and Greece between the mid-Holocene and modern presented in Roberts et al (2004; plate 7).

In a cluster analysis-based approach, Huntley (1990) identified key taxa associations using percentage data for 44 pollen taxa, which were placed on a six-point smoothed scale, and mapped these across Europe for specific time slices. According to Huntley (1990), at 6000 BP the Mediterranean was represented by three vegetation types (described in Table 2). The pollen cluster results presented for the current study provide a more detailed reconstruction of vegetation assemblages and greater representation of open landscapes (Fig. 6). For example, cluster 1.4 (parkland/grassland) and 1.1 (sclerophyllous parkland) are the main open landscapes in Italy, while 1.3 (sclerophyllous steppe/parkland) and 1.2 (evergreen shrubland: Oleaceae) are more widely represented in Spain.

Differences between the cluster results for the modern and most recent fossil datasets (Fig. 4) may be partly influenced by differences in the spatial distribution of sites. Coniferous, fir and alder woods (clusters 8.2, 7.0 and 8.1) are poorly represented in the surface pollen dataset, but are present in the fossil data, including the most recent time window (200 BP - present). The modern samples are not always co-located with the fossil datasets and thus sample different parts of the landscape. Differences between modern and most recent fossil pollen vegetation types are also due to real changes in land cover that have occurred in recent decades, and are linked to both direct and indirect anthropogenic intervention. This has been demonstrated by Colombaroli et al. (2007) with the disappearance of *Abies alba* at low altitudes in the Mediterranean since the Neolithic. It is clearly reflected in the cluster results (Fig. 5) when sites are separated by elevation groups, as fir forest (7.0) is well represented above 500 m, but does not appear in the dataset below this altitude.

Various factors lead to the existence of non-analogue vegetation assemblages (Williams & Jackson, 2007), such as rates of postglacial migration (Médail & Diadema, 2009), climate

change, human disturbance (Jalut et al., 2009) and altitudinal changes; for example, Geri et al. (2010) found that loss of Mediterranean forest has mostly been confined to low altitude areas. The altitudinal differences in the dataset reflect the ecotone boundaries of vegetation types and land use differences. For example, the only open vegetation type present >1200 m is 1.4 (parkland/grassland) and the only forest types represented at low elevation are 6.1 and 6.2 (deciduous oak parkland/woods). In a comparison of Holocene vegetation diversity across a transect from central Europe to the Mediterranean, Colombaroli & Tinner (2013) identified how diverse open-land ecosystems increase when human disturbance intensifies while forest becomes less diverse, highlighting the important role of anthropogenic fire and other disturbance related activities. Pollen taxa diversity appears to increase with the development of cultural landscapes and not just as a by-product of deforestation (Colombaroli & Tinner, 2013).

The patterns of change in different plant species and communities may sometimes be missed in the broad scale synthesis presented here. For example, evergreen *Quercus ilex* communities, which are recognised as more fire resistant than broad-leaf oak forests, developed before the emergence of Neolithic farming and were heavily impacted by land use strategies (e.g. Calò et al., 2012), but this pattern is not clearly shown in the changing abundance of the evergreen shrubland (dominated by *Quercus*) cluster (2.0). This suggests that regional patterns may become hidden within the broad scale synthesis, and that smaller spatial scale analyses would be required to investigate sub-regional patterns of vegetation change in more detail.

Potential and actual natural vegetation

There has been recent debate about potential natural vegetation (PNV) and the disconnect between PNV, actual vegetation and the role that palaeoecological evidence of past vegetation might play in determining or assessing PNV (e.g. Carrión & Fernandez, 2009; Chiarucci et al., 2010; Loidi et al., 2010; Jackson, 2013). Jackson (2013) sees PNV as a ‘useful fiction’, but one that might be better informed through use of data such as those presented here, which can be used to develop understanding of the processes that controlled historical vegetation character and change. PNV, when applied to the Iberian Peninsula, has modelled vegetation with a single dominant tree taxon at broad spatial scales (Carrión & Fernandez, 2009). This is not the case for the clusters generated in this analysis, which indicates frequent co-dominant species, even taking into account differential pollen productivity between taxa (Broström et al., 2008). Whilst it is recognised that the PNV concept encapsulates vegetation that would develop in the absence (or cessation of) human activity (Loidi et al., 2010), it is clear from palynological records that vegetation turnover rather than stability has been the norm in the Mediterranean, even in the absence of human impacts associated with agriculture and pastoralism.

Several long, continuous pollen records from the Mediterranean (e.g. Sadori et al., 2013) have demonstrated continuous vegetation change during past interglacial periods. These suggest that the character of ‘natural’ vegetation succession in the Mediterranean has been predictable and comparable during different interglacials (Tzedakis, 2007). Open woodland develops first, followed by expansion of deciduous oak, sclerophyll scrub, elm, hazel and lime, then by fir, beech and spruce, followed by reversion to open woodland. The fact that the early Holocene vegetation clusters presented here show similar patterns implies that predominantly natural processes were driving vegetation succession at least until ~8000 BP. Magri (1995) suggested that the Holocene interglacial may currently be experiencing a later

phase that matches previous interglacials during which natural openings in forests and the development of grasslands produced environments suitable for agriculture and pastoralism in the current interglacial. However, the complexity of land cover types and frequency of vegetation cluster change during the second half of the Holocene is likely to have been amplified by intensifying human impacts, a pattern also identified by Carrión and Fernandez (2009). In the pollen-based synthesis presented here, for example, total non-arboreal pollen across the Mediterranean increased from ~35% to ~47% between 3500 BP and recent times (Fig. 4). Looking to the future, dynamic vegetation models driven by future climate change scenarios predict a shift from mesic forest (the current PNV) to more open shrubland and eventually steppe as a result of reduced water availability (Hickler et al., 2012). Transformation of the vegetation by human agency has already begun this process over the past millennia.

CONCLUSIONS

We have demonstrated a method that allows exploration of vegetation dynamics in time and space and potentially the detection of non-analogue communities. A high degree of spatio-temporal heterogeneity is recognised in Mediterranean vegetation patterns (Shoshany, 2000) and this is evident within the results presented here. In comparison with some previous classification studies, such as the BIOME mapping vegetation classification (Prentice et al., 1996; Roberts et al., 2004), which often focussed on discrete time windows, our results show almost continuous turnover of most plant communities during the last 11,000 years, as demonstrated by the rate of vegetation cluster change (Fig. 4). This indicates that stable baseline vegetation communities cannot be easily identified, at least not at a pan-Mediterranean scale. Our transformation and synthesis of multiple modern and fossil pollen

records has revealed key vegetation types that existed in the past and are rare or absent in the modern landscape (e.g. mesic forest) and vice versa (e.g. evergreen shrub dominated by Oleaceae). The pollen cluster results highlight the potential of this approach to explore complex vegetation patterns and provide detailed information about vegetation assemblages not previously identified, which is open to future development with the availability of additional datasets.

While it can be difficult to establish precise causes for changes in vegetation, stochastic characteristics, associated with a range of disturbance factors, such as natural fire, climate fluctuations and human impacts, will have resulted in what are often considered to represent ‘subclimax’ vegetation communities. There is long-standing evidence of the complex interaction between plant assemblages, disturbance and climate (Colombaroli et al., 2007; Guiot & Kaniewski). If stable natural vegetation communities never existed when viewed on a Holocene timescale, then attempts to use them as targets for environmental restoration may be misplaced. Understanding land cover types in an area of the world with a long-standing (multi-millennial) history of human transformation has potential value in informing palaeoecologists, conservationists, and climate modellers, among other groups concerned with vegetation change and has potential to help identify biogeographic regions that are more resilient or susceptible to future disturbance.

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DATA AVAILABILITY STATEMENT

The datasets underpinning this study are publically available from the European Pollen Database (<http://www.europeanpollendatabase.net/>). The results of the analyses carried out are available from the authors upon request.

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BIOSKETCHES

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TABLES

Table 1 Comparison of pollen-inferred vegetation groups within the modern and fossil datasets using Ward's hierarchical clustering method. Towards the left of the table, the groups combine at lower levels of clustering and to the right cluster groups separate as different pollen taxa assemblages are detected at a higher clustering level. The inter-quartile range of the dominant taxa (appearing in 81-100% of samples in the cluster) are provided.

Table 2 Pollen-inferred vegetation clusters compared with Corine remote sensed land cover maps (EEA, 2016) and vegetation types defined by previous studies (Huntley, 1990; Prentice et al., 1996; Peyron et al., 1998).

FIGURES

Fig 1 Modern and fossil pollen site locations colour coded according to elevation group. The white area represents the Mediterranean region for which results are presented in this study. Pollen samples in the Rhone Valley of southern France are also shown on the map as these sites contributed to the generation of vegetation cluster groups.

Fig 2 Box plots for pollen taxa within each vegetation cluster group for the combined fossil and modern pollen datasets. The middle vertical bar for each taxon represents the median, the

lower and upper boundaries of the boxes show the interquartile range, and the dashed horizontal lines show the minimum and maximum occurrence of the taxon in the cluster. The bars representing taxa that are frequent or abundant in a specific cluster group are coloured black and those that are not frequent or abundant are grey (a version of this diagrams has been published in Fyfe et al., 2018).

Fig 3 Modern and fossil pollen samples: non-metric multidimensional scaling (nMDS) kernel density plots for 16 cluster analysis derived vegetation groups. Darker colours indicate greater density of samples and lighter colours indicate fewer samples.

Fig 4 Percentage of pollen samples statistically assigned to each cluster group throughout the Holocene for the entire Mediterranean combined fossil and modern dataset with ratio of vegetation cluster group change, average non-metric multidimensional scaling (nMDS) scores, average Simpson's diversity index and average non-arboreal pollen sum (% NAP) (scale: 28-48%) (11,000 BP to modern).

Fig 5 Percentage of pollen samples statistically assigned to each cluster group throughout the Holocene separated by elevation group: a) <500 m (415 modern and 47 fossil pollen sites), b) 500-1200 m (643 modern and 28 fossil pollen sites), and c) >1200 m (498 modern and 30 fossil pollen sites) (11,000 BP to modern).

Fig 6 Pollen-inferred vegetation clusters (6200-6000 BP and modern surface samples) compared with the results of BIOME 6000 vegetation maps (Prentice et al., 1996) for modern pollen (0 BP) and 6000 BP and the Corine remotely-sensed (EEA, 2006) land cover map.

786 Fig 7 Frequency of vegetation types according to the pollen cluster results and the BIOME
787 6000 vegetation maps for 0 BP and 6000 BP maps (Prentice et al., 1996). Similar vegetation
788 types are shown alongside one another.

789

790 Supplementary Information 1: EPD fossil pollen site metadata for the records used in these
791 analyses (for details of the modern pollen sites see Davis et al., 2013).

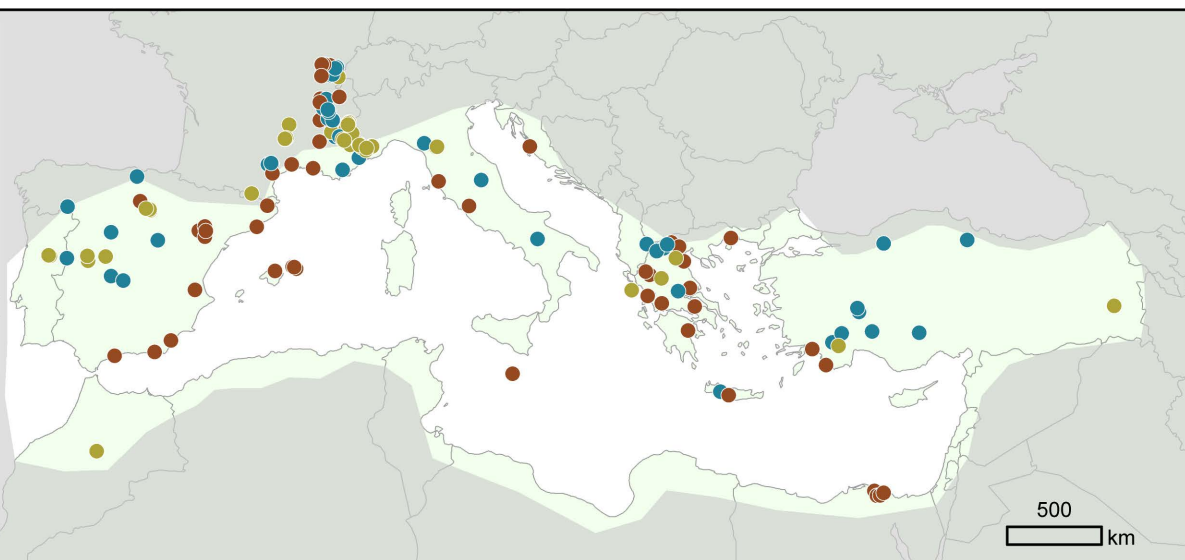
792

793 Supplementary Information 2: Pollen-inferred vegetation classification tables: land-cover
794 types (clusters) and pollen taxa percentage (inter-quartile range) in the combined modern and
795 fossil pollen datasets (all taxa presented are in the higher frequency class for each cluster)
796 (Lactuceae: synonym Cichorieae). V represents a taxon occurring in 81-100% of all samples
797 in a cluster and IV = 61-80%.

Fig. 1

Fossil sites

- 1: <500 masl
- 2: 500-1200 masl
- 3: >1200 masl



Modern sites

- 1: <500 masl
- 2: 500-1200 masl
- 3: >1200 masl

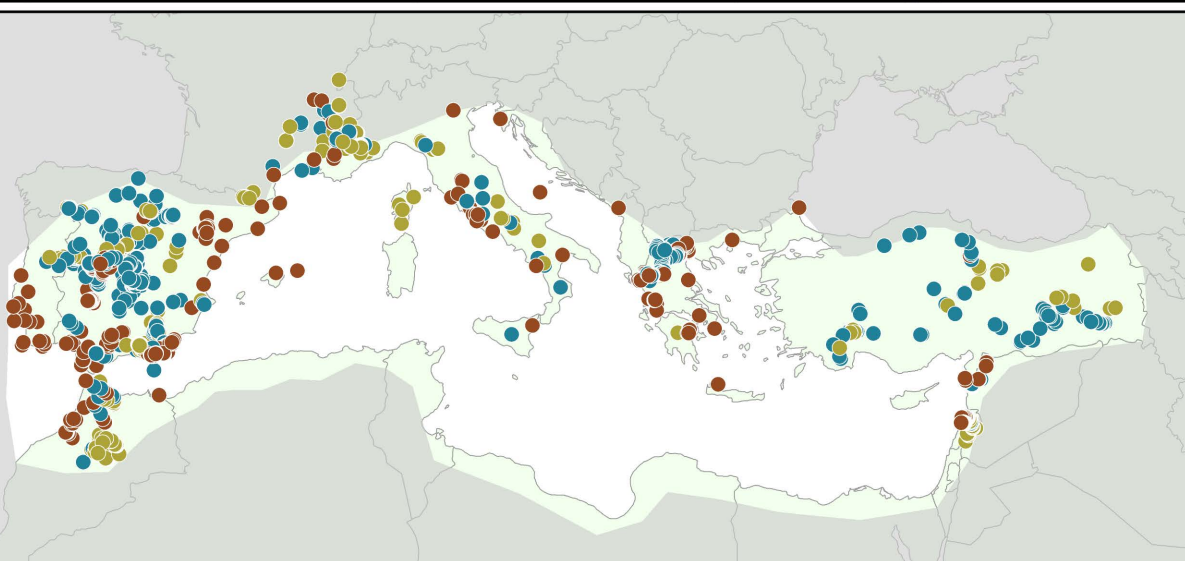
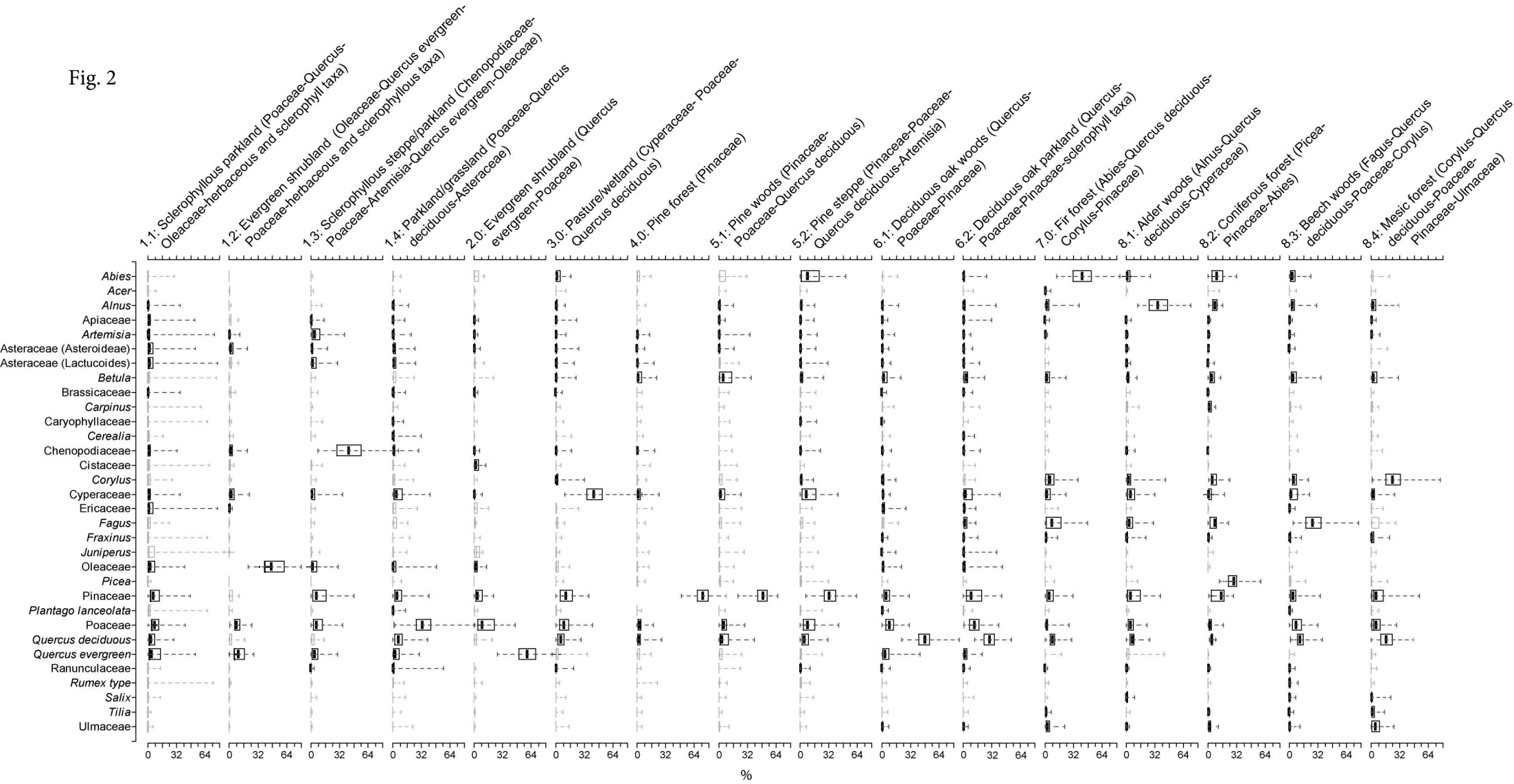


Fig. 2



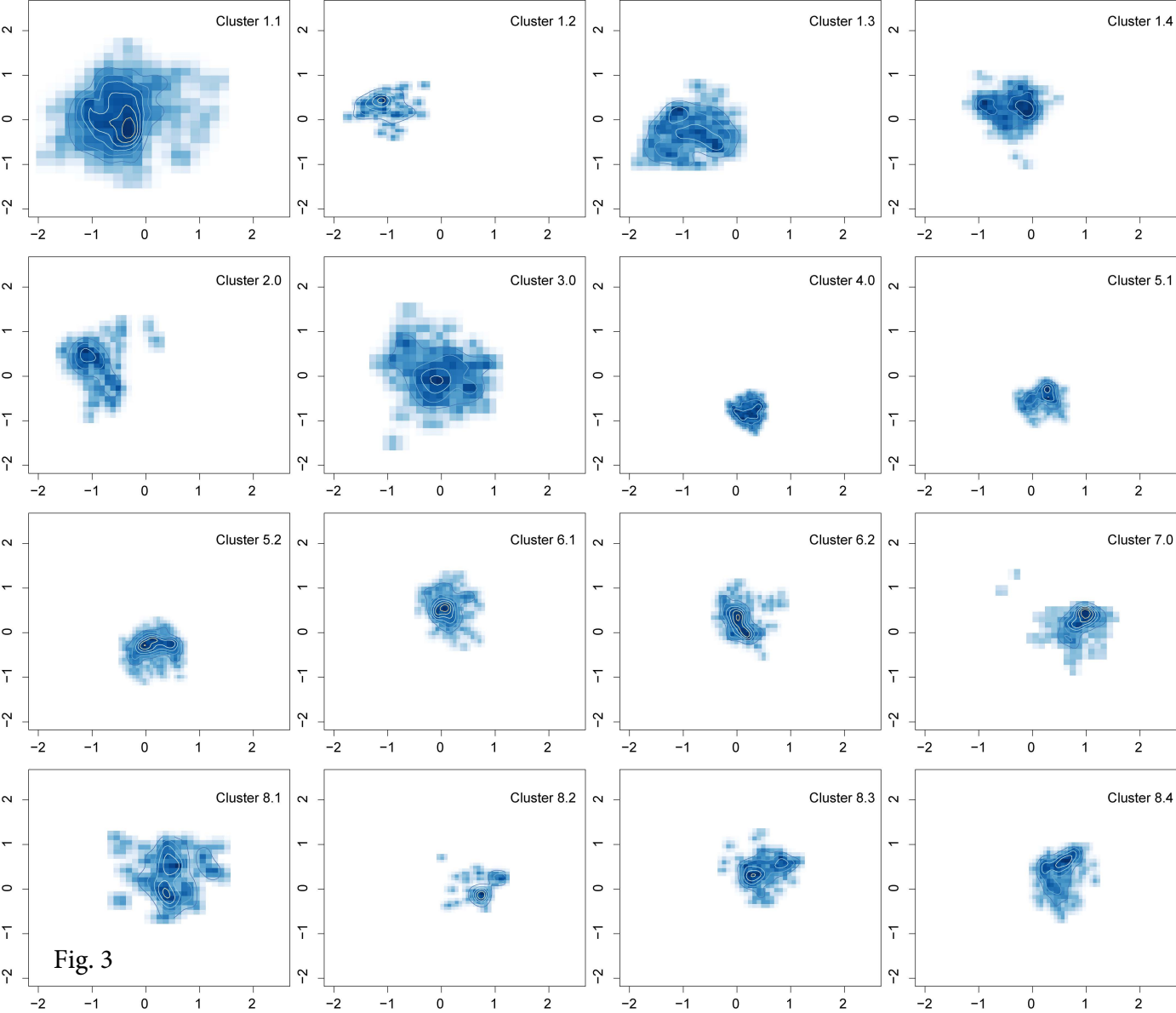


Fig. 4

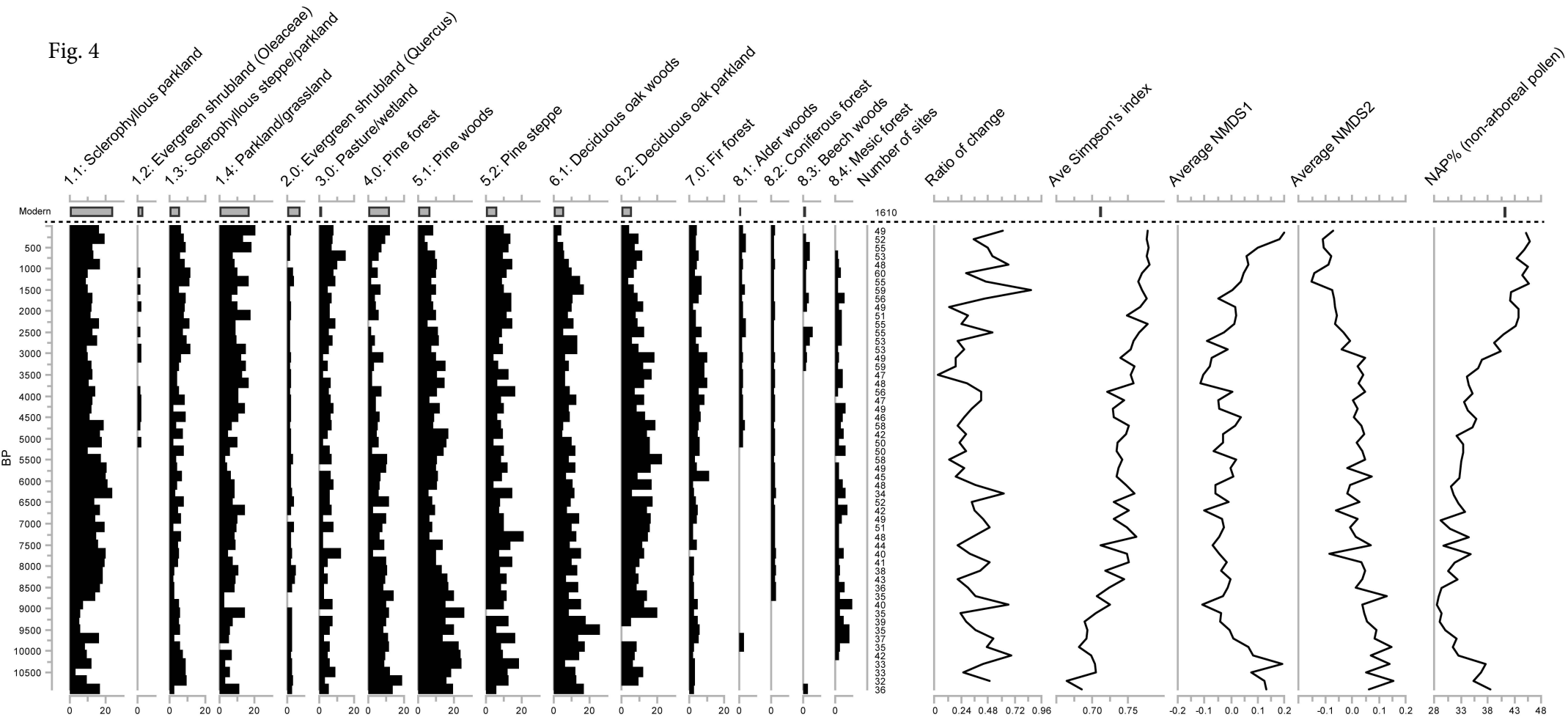


Fig. 6

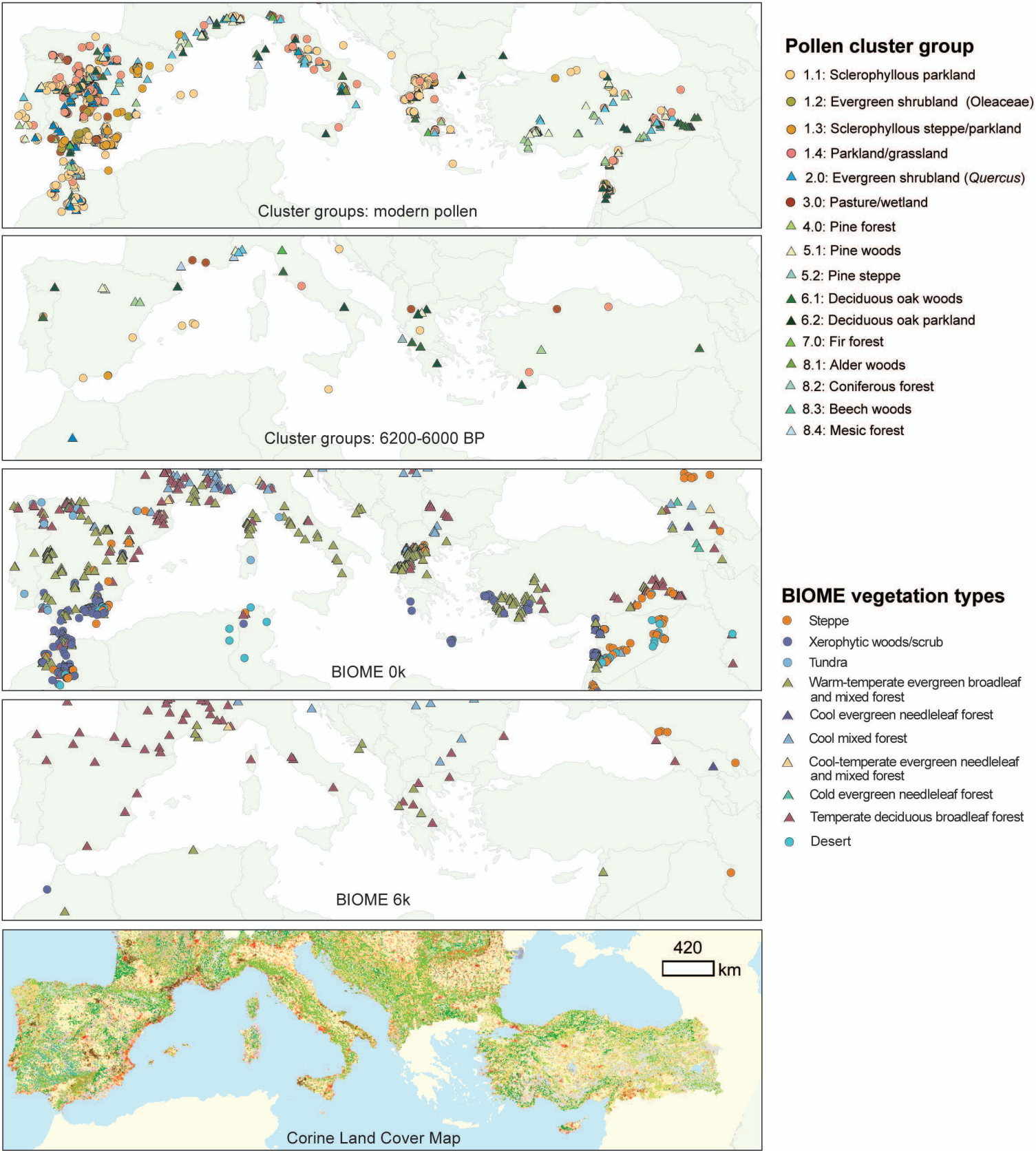


Fig. 7

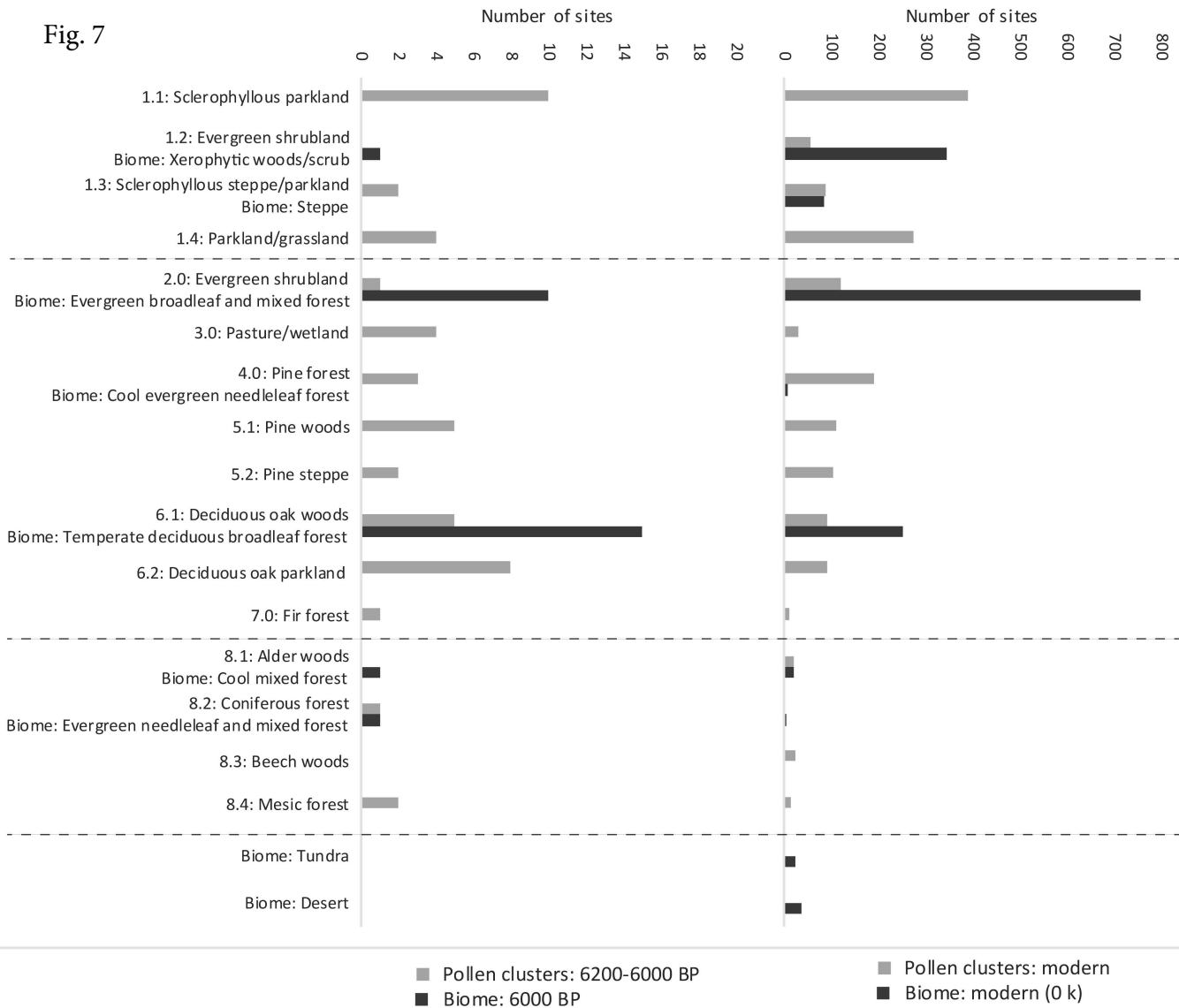


Table 1 Comparison of pollen-inferred vegetation groups within the modern and fossil datasets using Ward's hierarchical clustering method. Towards the left of the table, the groups combine at lower levels of clustering and to the right cluster groups separate as different pollen taxa assemblages are detected at a higher clustering level. The inter-quartile range of the dominant taxa (appearing in 81-100% of samples in the cluster) are provided.

3 clusters	8 clusters	12 clusters	13 clusters	15 clusters	16 clusters	Dominant taxa (most frequently occurring taxa within the cluster)
1: open vegetation	1: Open vegetation/ shrubland	1	1	1	1.1: Sclerophyllous parkland	Poaceae (4-12.5), Pinaceae (2.8-13.3), <i>Quercus</i> deciduous (1.4-8.6), <i>Asteraceae</i> : <i>Asteroideae</i> (0.9-6.4), <i>Quercus</i> evergreen (11.2-14.9), Oleaceae (10.7-8.6), <i>Asteraceae</i> : <i>Lactuceae</i> (10.8-6.2), Ericaceae (10.5-6.2)
				9	1.2: Evergreen shrubland (Oleaceae)	Oleaceae (39-61.8), <i>Quercus</i> evergreen (5.4-17.8), Poaceae (6-12.9), <i>Asteraceae</i> : <i>Asteroideae</i> (1.1-5.2), Chenopodiaceae (0.7-4.4), Cyperaceae (10.8-6.1)
		3	3	3	1.3: Sclerophyllous steppe/parkland	Chenopodiaceae (29.1-56.7), Pinaceae (2.4-18), Poaceae (2.9-13.4), Artemisia (1.1-10.9), <i>Quercus</i> evergreen (1.5-8.3), Oleaceae (0.7-7.3)
		7	8	8	1.4: Parkland/grassland	Poaceae (26.2-43), <i>Quercus</i> deciduous (2.3-11.3), Pinaceae (2.4-10.3), <i>Asteraceae</i> : <i>Lactuceae</i> (0.5-4.4), <i>Asteraceae</i> : <i>Asteroideae</i> (0.6-3.3), Cyperaceae (11.5-11.3)
	2: Evergreen shrubland	5	5	7	2.0: Evergreen shrubland (<i>Quercus</i>)	<i>Quercus</i> evergreen (50.6-69.4), Poaceae (4.2-23.7), Brassicaceae (0.5-2), Chenopodiaceae (0.4-1), Pinaceae (12-10)
	3: Pasture/wetland	11	12	14	3.0: Pasture/wetland	Cyperaceae (34.6-52.2), Pinaceae (5.2-18.8), Poaceae (4.4-15.2), <i>Quercus</i> deciduous (2.1-10.1)
2: Pine forests	4: Pine forest	2	2	2	4.0: Pine forest	Pinaceae (67-82.1), Poaceae (1.5-4.8), <i>Quercus</i> deciduous (0.6-4.2), Betula (10.4-5.7)
	5: Pine woods/steppe	4	4	4	5.1: Pine woods	Pinaceae (43.3-54.5), Poaceae (3.5-8.9), <i>Quercus</i> deciduous (1.1-11.2)
			6	6	5.2: Pine steppe	Pinaceae (26.9-40.5), Poaceae (3.4-16.9), Cyperaceae (2-18.3), <i>Quercus</i> deciduous (1.9-9.7), Artemisia (0.4-1.7)
3: Deciduous and mixed forests	6: Deciduous (broad-leaved)	6	7	7	6.1: Deciduous oak woods	<i>Quercus</i> deciduous (40.8-53.5), Poaceae (4.2-13.8), Pinaceae (2.3-8.9)
				10	6.2: Deciduous oak parkland	<i>Quercus</i> deciduous (23.1-35.4), Poaceae (7.1-18.3), Pinaceae (3.7-21.5)
	7: Fir forest	8	9	11	7.0: Fir forest	<i>Abies</i> (31.4-52.3), <i>Quercus</i> deciduous (5.7-11.6), <i>Corylus</i> (3.2-10.5), Pinaceae (2-10.2)
	8: Mixed woods	9	10	12	8.1: Alder woods 8.2: Coniferous forest	<i>Alnus</i> (25.8-46.7), <i>Quercus</i> deciduous (4.2-9.4), Cyperaceae (1.8-9.6)
		10	11	13	8.3: Beech woods	Picea (22.6-32.7), Pinaceae (3.6-18.2), <i>Abies</i> (4.3-17.2)
		12	13	15	8.4: Mesic forest	Fagus (18.7-36.3), <i>Quercus</i> deciduous (9.2-16), Poaceae (3.7-14.1), <i>Corylus</i> (3.5-8.4)

Table 2 Pollen-inferred vegetation clusters compared with Corine remote sensed land cover maps (EEA, 2016) and vegetation types defined by previous studies (Huntley, 1990; Prentice et al., 1996; Peyron et al., 1998).

Cluster name descriptor	Corine Land Cover Classes	Comparison with previous studies	
		Prentice et al. (1996) Peyron et al. (1998)	Huntley (1990)
1.1: Sclerophyllous parkland (Poaceae- <i>Quercus</i> -Oleaceae-herbaceous and sclerophyll taxa)	Sclerophyllous vegetation	Grass / heath	<i>Quercus-Pinus</i> -sclerophyll taxa <i>Quercus</i> -Chenopodiaceae- <i>Pistacia</i>
1.2: Evergreen shrubland (Oleaceae- <i>Quercus</i> evergreen-Poaceae- herbaceous and sclerophyllous taxa)	Transitional woodland-shrub / broad-leaved forest / sclerophyllous	Warm-temperate sclerophyll trees/shrub	<i>Quercus</i> -Chenopodiaceae- <i>Pistacia</i>
1.3: Sclerophyllous steppe/parkland (Chenopodiaceae-Poaceae- <i>Artemisia</i> - <i>Quercus</i> evergreen-Oleaceae)	Sclerophyllous vegetation	Steppe forb / shrub	Chenopodiaceae <i>Quercus</i> -Pinus-Ericales- Chenopodiaceae
1.4: Parkland/grassland (Poaceae, <i>Quercus</i> deciduous, Asteraceae)	Natural grasslands / pastures / agriculture	Grass	No similar group
2.0: Evergreen shrubland (<i>Quercus</i> evergreen, Poaceae)	Transitional woodland-shrub / broad-leaved forest / sclerophyllous	Warm temperate broad-leaved evergreen	<i>Quercus</i> -Chenopodiaceae- <i>Pistacia</i>
3.0: Pasture/wetland (Cyperaceae, Poaceae, <i>Quercus</i> deciduous)	Moors and heathland	Cyperaceae	<i>Quercus</i> -Pinus-sclerophyll taxa
4.0: Pine forest (Pinaceae)	Coniferous forest / mixed forest	Boreal evergreen conifer	Pinus-Betula
5.1: Pine woods (Pinaceae-Poaceae- <i>Quercus</i> deciduous)	Coniferous forest / mixed forest	Boreal evergreen conifer	Pinus-Betula
5.2: Pine steppe (Pinaceae-Poaceae- <i>Quercus</i> deciduous- <i>Artemisia</i>)	Coniferous forest / mixed forest	No similar group	Pine-Betula-Chenopodiaceae- <i>Quercus</i>
6.1: Deciduous oak woods (<i>Quercus</i> - Poaceae-Pinaceae)	Broad-leaved forest	Temperate summer green	<i>Quercus</i> -Pinus-sclerophyll taxa
6.2: Deciduous oak parkland (<i>Quercus</i> - Poaceae-Pinaceae-sclerophyll taxa)	Broad-leaved forest	Temperate summer green	<i>Quercus</i> -Pinus-sclerophyll taxa
7.0: Fir forest (<i>Abies-Quercus</i> deciduous- <i>Corylus</i> -Pinaceae)	Coniferous forest / mixed forest	Boreal evergreen conifer	No similar group

8.1: Alder woods (<i>Alnus-Quercus</i> deciduous-Cyperaceae)	Broad-leaved forest	Temperate summer green	<i>Corylus-Quercus-Alnus</i>
8.2: Coniferous forest (<i>Picea-Pinaceae-Abies</i>)	Coniferous forest / mixed forest	Boreal evergreen conifer	<i>Picea-Pinus-Betula</i>
8.3: Beech woods (<i>Fagus-Quercus</i> deciduous-Poaceae- <i>Corylus</i>)	Broad-leaved forest	Cool temperate summer green	<i>Fagus-Picea-Carpinus</i>
8.4: Mesic forest (<i>Corylus-Quercus</i> deciduous-Poaceae-Pinaceae-Ulmaceae)	Broad-leaved forest	Cool temperate summer green	<i>Corylus-Quercus-Alnus</i>